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|   | Application Number 10/520,322 |   | 2             |   |   |
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| · FORM  | First Named Invento           | or  | Michael Katze |   |   |
| JUN 1 8 7007 8  | Art Unit                      |   | 1641          |   |   |
| to be used for accordance after   | Examiner Name                 |   | <u>-</u>      |   |   |
| Total Para Pages in This Submi  |                               | Attorney Docket Nu                              | mber          | 55382-23                                    |   |
|   | ENCLO                         | SURES (check all that                           | apply)        |   |   |
| Fee Transmittal Form  | ☐ Drawing(s                   |   |               | After Alle                                  | owance Communication to TC  |
| Fee Attached  | Licensing                     | -related Papers                                 |               | Appeal (                                    | Communication to Board  |
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| After Final   | hanned .                      | Convert to a al Application                     |               | _   | ary Information   |
| Affidavits/declaration(s)   |                               | Attorney, Revocation<br>of Correspondence Addre | ess           | Status L                                    | etter   |
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| SI  | SNATURE OF                    | APPLICANT, ATTOR                                | NEY, OF       | RAGENT                                      |   |
| Firm Davis Wright Tro   |                               | emaine LLP                                      | //            | ,   |   |
| Signature   |                               | aug 2   | M             |   |   |
| Printed Name Barry L. Daviso  |                               | n, Ph.D., J.D.                                  |               | ,   |   |
| Date June 15, 2007  |                               | , ,   | Reg.<br>No.   | 47,309                                      |   |
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June 15, 2007

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

: Michael Katze et al.

Application No. : 10/520,322

Filed

: December 30, 2004

For

: METHODS AND COMPOSITIONS FOR DIAGNOSING

HEPATOCELLULAR CARCINOMA

Art Unit

: 1641

Docket No. : 55382-23

Date

: June 15, 2007

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## INFORMATION DISCLOSURE STATEMENT

## Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08. Copies of the cited references are enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid

references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that each of the references set forth on the attached form PTO/SB/08 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe no fee is required for filing this Information Disclosure Statement, since the U.S. Patent Office has not yet mailed a first Office Action on the merits.

Respectfully submitted,

Michael Katze et al.

DAVIS WRIGHT TREMAINE LLP

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Parry L. Davison, Ph.D. Registration No. 47,309

Enclosure:

Postcard Form PTO/SB/08 Cited References (5)

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| KINAPI                 |                        |         |                      | Application Number     | 10/520,322        |  |
| INFORMATION DISCLOSURE |                        |         | CLOSURE              | Filing Date            | December 30, 2004 |  |
| STA                    | STATEMENT BY APPLICANT |         | First Named Inventor | Michael Katze          |                   |  |
|                        |                        |         |                      | Art Unit               | 1641              |  |
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| Sheet                  | 1                      | of      | 2                    | Attorney Docket Number | 55382-23          |  |

|               | U.S. PATENT DOCUMENTS |  |                    |  |  |  |  |  |
|---------------|-----------------------|--|--------------------|--|--|--|--|--|
| Examiner Cite |                       | Document Number                            | . Publication Date | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant  |  |  |  |
| Initials *    | No.1                  | Number - Kind Code <sup>2</sup> (if known) | MM-DD-YYYY         | Cited Document                                     | Passages or Relevant<br>Figures Appear |  |  |  |
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| FOREIGN PATENT DOCUMENTS |                          |  |                                |   |   |                |  |
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|                          |                          | Foreign Patent Document  |                                |   | Pages, Columns,<br>Lines, Where                       |                |  |
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Relevant<br>Passages or<br>Relevant Figures<br>Appear | T <sup>®</sup> |  |
|                          |                          | WO 2004/005466 A3  | 01-15-2004                     | Illimigen Biosciences                           |   |                |  |
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Substitute for form 1449B/PTO Complete if Known Application Number 10/520,322 INFORMATION DISCLOSURE Filing Date December 30, 2004 STATEMENT BY APPLICANT First Named Inventor Michael Katze Art Unit 1641 (Use as many sheets as necessary) Examiner Name 2 Attorney Docket Number 55382-23 Sheet

| NON PATENT LITERATURE DOCUMENTS |              |   |     |  |  |
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| Examiner<br>Initials *          | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T 2 |  |  |
|                                 |              | LIN et al., Clinical Aspects of Hepatocellular Carcinoma: Role of Serum C-Reactive Protein as a Marker of Hepatocellular Carcinoma in Patents with Cirrhosis, Journal of Gastroenterology and Hepatology, 2000, 417-421, volume 15                              |     |  |  |
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